

#2/17-28-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Bantz et al.**

Serial No.: **Not Assigned**

Filed: **February 16, 2001**

For: **Apparatus and Methods for  
Active Avoidance of Objectionable  
Content**

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§ Group Art Unit: **Not Assigned**

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§ Examiner: **Not Assigned**

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§ Attorney Docket No.: **YOR920000803US1**

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J1036 U.S. PTO  
09/788071  
02/16/01

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

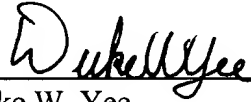
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0510. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0510.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: February 16, 2001

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Duke W. Yee", is written over a horizontal line.

Duke W. Yee

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<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>YOR920000803US1</b>		SERIAL NO. <b>Not assigned</b>	
			APPLICANT <b>Bantz et al.</b>			
			FILING DATE <b>February 16, 2001</b>		GROUP ART UNIT <b>Not assigned</b>	

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	
	AA 5987606	Nov. 16, 1999	Cirasole et al.	713/200	Mar. 19, 1997	
	AB 5996011	Nov. 30, 1999	Humes	709/225	Mar. 25, 1997	
	AC 5796948	Aug. 18, 1998	Cohen	395/200.36	Nov. 12, 1996	
	AD 5832212	Nov. 3, 1998	Cragun et al.	395/188.01	Apr. 19, 1996	

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	

OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>		

DATE CONSIDERED	EXAMINER
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.